Machine Learning in Production
Building Fair Products

From Fairness Concepts to Fair Products

Fundamentals of Engineering AI-Enabled Systems

Holistic system view: AI and non-AI components, pipelines, stakeholders, environment interactions, feedback loops

Requirements:
System and model goals
User requirements
Environment assumptions
Quality beyond accuracy
Measurement
Risk analysis
Planning for mistakes

Architecture + design:
Modeling tradeoffs
Deployment architecture
Data science pipelines
Telemetry, monitoring
Anticipating evolution
Big data processing
Human-AI design

Quality assurance:
Model testing
Data quality
QA automation
Testing in production
Infrastructure quality
Debugging

Operations:
Continuous deployment
Contin. experimentation
Configuration mgmt.
Monitoring
Versioning
Big data
DevOps, MLOps

Teams and process: Data science vs software eng. workflows, interdisciplinary teams, collaboration points, technical debt

Responsible AI Engineering

Provenance, versioning, reproducibility

Safety

Security and privacy

Fairness

Interpretability and explainability

Transparency and trust

Ethics, governance, regulation, compliance, organizational culture

Reading

Required reading:

- Holstein, Kenneth, Jennifer Wortman Vaughan, Hal Daumé III, Miro Dudik, and Hanna Wallach. "Improving fairness in machine learning systems: What do industry practitioners need?" In Proceedings of the 2019 CHI Conference on Human Factors in Computing Systems, pp. 1-16. 2019.

Recommended reading:

- Metcalf, Jacob, and Emanuel Moss. "Owning ethics: Corporate logics, silicon valley, and the institutionalization of ethics." *Social Research: An International Quarterly* 86, no. 2 (2019): 449-476.

Learning Goals

- Understand the role of requirements engineering in selecting ML fairness criteria
- Understand the process of constructing datasets for fairness
- Document models and datasets to communicate fairness concerns
- Consider the potential impact of feedback loops on AI-based systems and need for continuous monitoring
- Consider achieving fairness in AI-based systems as an activity throughout the entire development cycle
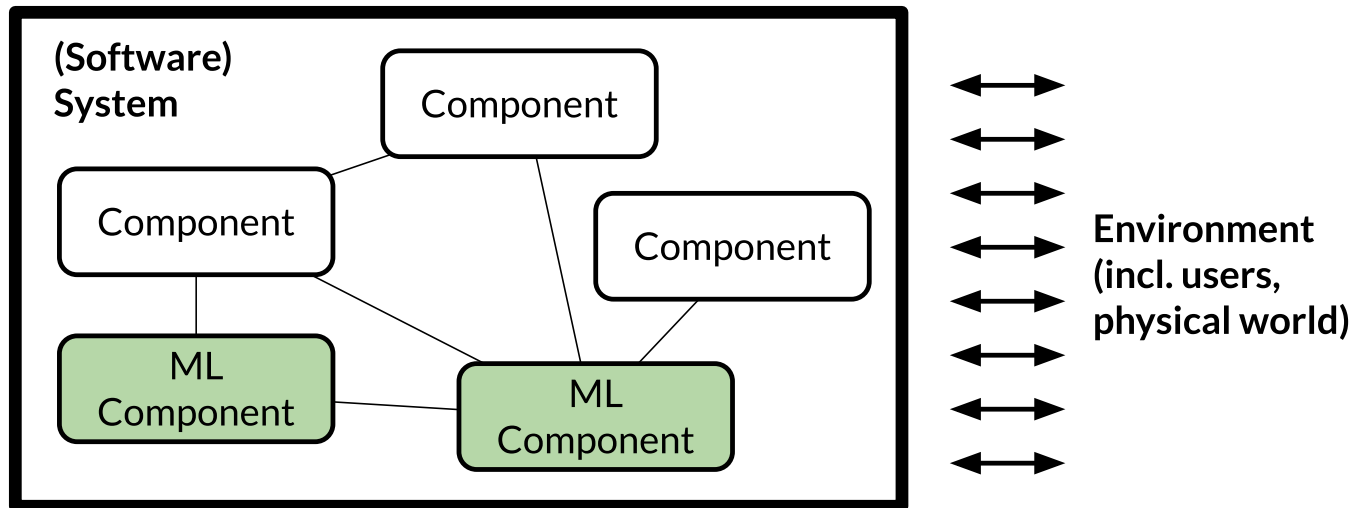
Today: Fairness as a System Quality
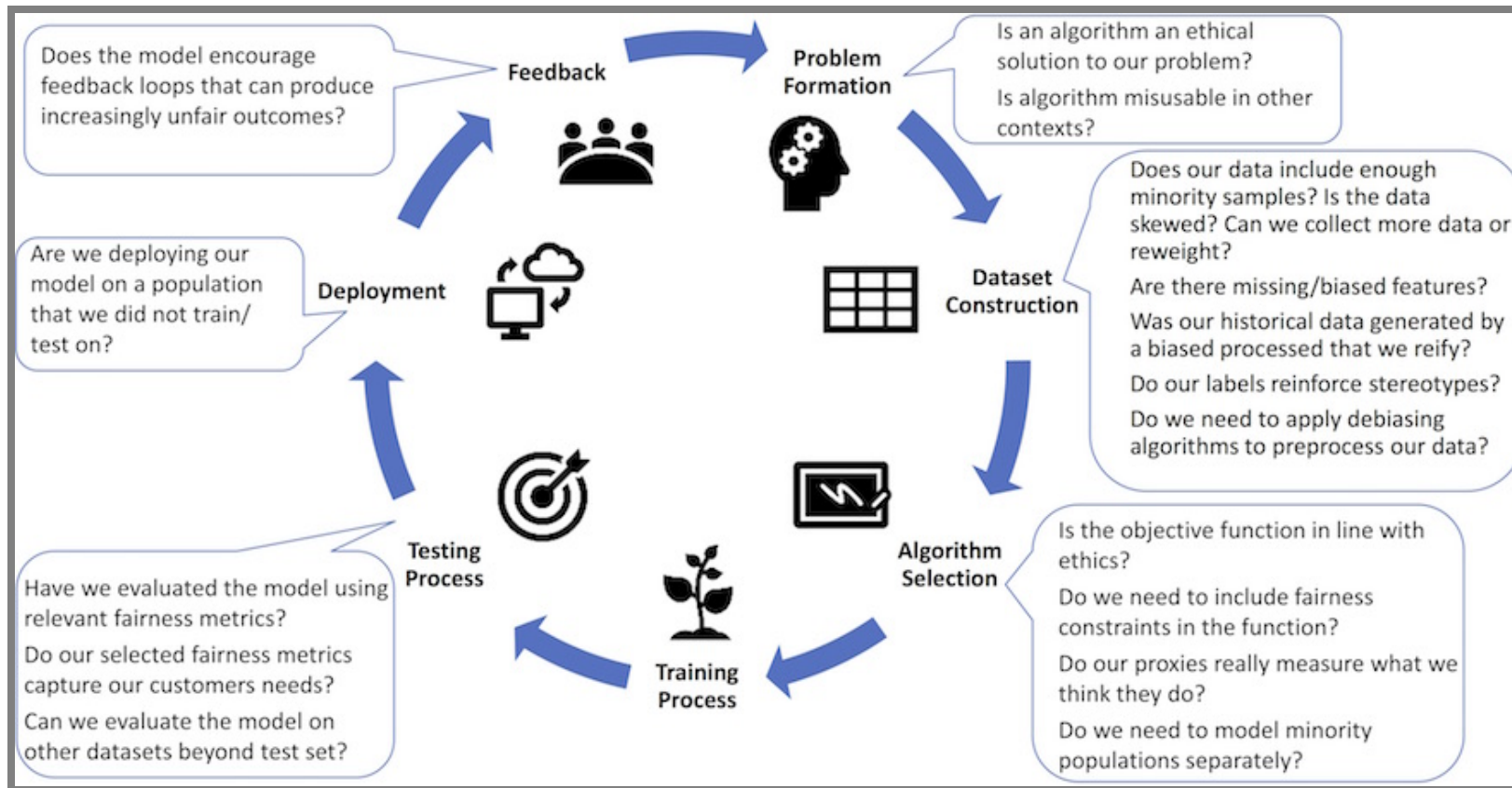
Fairness can be measured for a model

... but we really care whether the system, as it interacts with the environment, is fair/safe/secure

... does the system cause harm?

Fair ML Pipeline Process

Fairness must be considered throughout the entire lifecycle!

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Fairness Problems are System-Wide Challenges

- **Requirements engineering challenges:** How to identify fairness concerns, fairness metric, design data collection and labeling
- **Human-computer-interaction design challenges:** How to present results to users, fairly collect data from users, design mitigations
- **Quality assurance challenges:** Evaluate the entire system for fairness, continuously assure in production
- **Process integration challenges:** Incorrorate fairness work in development process
- **Education and documentation challenges:** Create awareness, foster interdisciplinary collaboration

Understanding System-Level Goals for Fairness

i.e., Requirements engineering

Recall: Fairness metrics

- Anti-classification (fairness through blindness)
- Group fairness (independence)
- Equalized odds (separation)
- …and numerous others and variations!

But which one makes most sense for my product?

Recall Breakout: Cancer Prognosis

	Male Patient Results				Female Patient Results	
	Actual cancer	Actually no cancer			Actual cancer	Actually no cancer
Predicted cancer	23	11		Predicted cancer	13	5
Predicted no cancer	41	925		Predicted no cancer	2	480

- Does the model meet anti-classification fairness? *Can't tell*
- Does the model meet group fairness? *Sure*
  - P[cancer, M] = 0.034, P[cancer, F] = 0.036
- Does the model meet equalized odds? *No*
  - FPR, M = 0.012, F = 0.010
  - FNR, M = 0.64, F = 0.133
- Is the model fair enough to use? How can we decide?

prob cancer male vs female

Identifying Fairness Goals is a Requirements Engineering Problem

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- …

1. Identify Protected Attributes

Against which groups might we discriminate? What attributes identify them directly or indirectly?

Requires understanding of target population and subpopulations

Use anti-discrimination law as starting point, but do not end there
- Socio-economic status? Body height? Weight? Hair style? Eye color? Sports team preferences?
- Protected attributes for non-humans? Animals, inanimate objects?

Involve stakeholders, consult lawyers, read research, ask experts, …

Protected attributes are not always obvious

2. Analyze Potential Harms

Anticipate harms from unfair decisions

- Harms of allocation, harms of representation?
- How do biased model predictions contribute to system behavior?

Consider how automation can amplify harm

Overcome blind spots within teams

- Systematically consider consequences of bias
- Consider safety engineering techniques (e.g., FTA)
- Assemble diverse teams, use personas, crowdsource audits

Example: Judgment Call Game

Card "Game" by Microsoft Research

Participants write "Product reviews" from different perspectives

- encourage thinking about consequences
- enforce persona-like role taking

Example: Judgment Call Game

Card 1 (Inclusivity):
I cannot consent to such a discriminatory technology. My face is mine and if I choose to cover it for any reason I should never be told to show it just to get the best transcription technology.

— Non-Consenting Attendee

Card 2 (0 stars! — Inclusion):
This system put me out of a job :(Concert venues adopted it way too quickly, laid off all their event staff, and it turns out the tech doesn't work very well. I know for a fact people can get around the sensors and get in without a ticket. Oh well. Not my job to care

— ticket checkers

Judgment Call the Game: Using Value Sensitive Design and Design Fiction to Surface Ethical Concerns Related to Technology

3. Negotiate Fairness Goals/Measures

- Negotiate with stakeholders to determine fairness requirement for the product: What is the suitable notion of fairness for the product? Equality or equity?
- Map the requirements to model-level (model) specifications: Anti-classification? Group fairness? Equalized odds?
- Negotiation can be challenging!
  - Conflicts with other system goals (accuracy, profits…)
  - Conflicts among different beliefs, values, political views, etc.,

Intuitive Justice: Research on what people perceive as fair (psychology)

When rewards depend on inputs and participants can chose contributions: Most people find it fair to split rewards proportional to inputs

- *Which fairness measure does this relate to?*

Most people agree that for a decision to be fair, personal characteristics that do not influence the reward, such as gender or age, should not be considered when dividing the rewards.

- *Which fairness measure does this relate to?*

Complexity: Individual and group differences not always clearly attributable, unequal starting positions

Dealing with unequal starting positions

Equality (minimize disparate treatment):

- Treat everybody equally, regardless of starting position
- Focus on meritocracy, strive for fair opportunities
- Equalized-odds-style fairness; equality of opportunity

Equity (minimize disparate impact):

- Lift disadvantaged group, affirmative action
- Strive for similar outcomes (distributive justice)
- Group-fairness-style fairness; equality of outcomes

Each rooted in long history of law/philosophy, and typically incompatible. Problem and goal dependent

One heuristic: Punitive vs Assistive Decisions

- If the decision is **punitive** in nature:
  - Harm is caused when a group is given an unwarranted penalty
  - e.g. decide whom to deny bail based on risk of recidivism
  - Heuristic: Use a fairness metric (equalized odds) based on false positive rates
- If the decision is **assistive** in nature:
  - Harm is caused when a group in need is denied assistance
  - e.g., decide who should receive a loan or a food subsidy
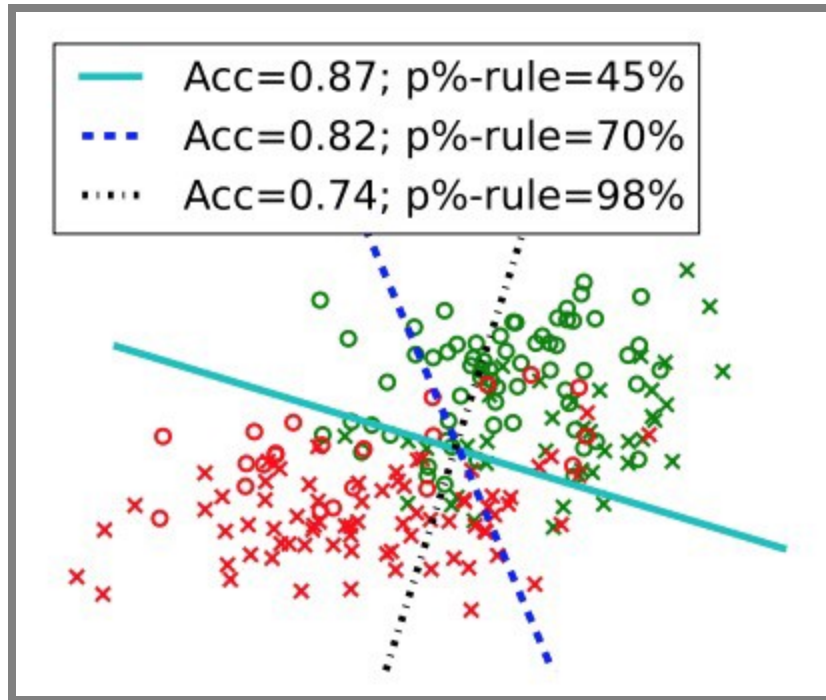 - Heuristic: Use a fairness metric based on false negative rates

Fairness Tree
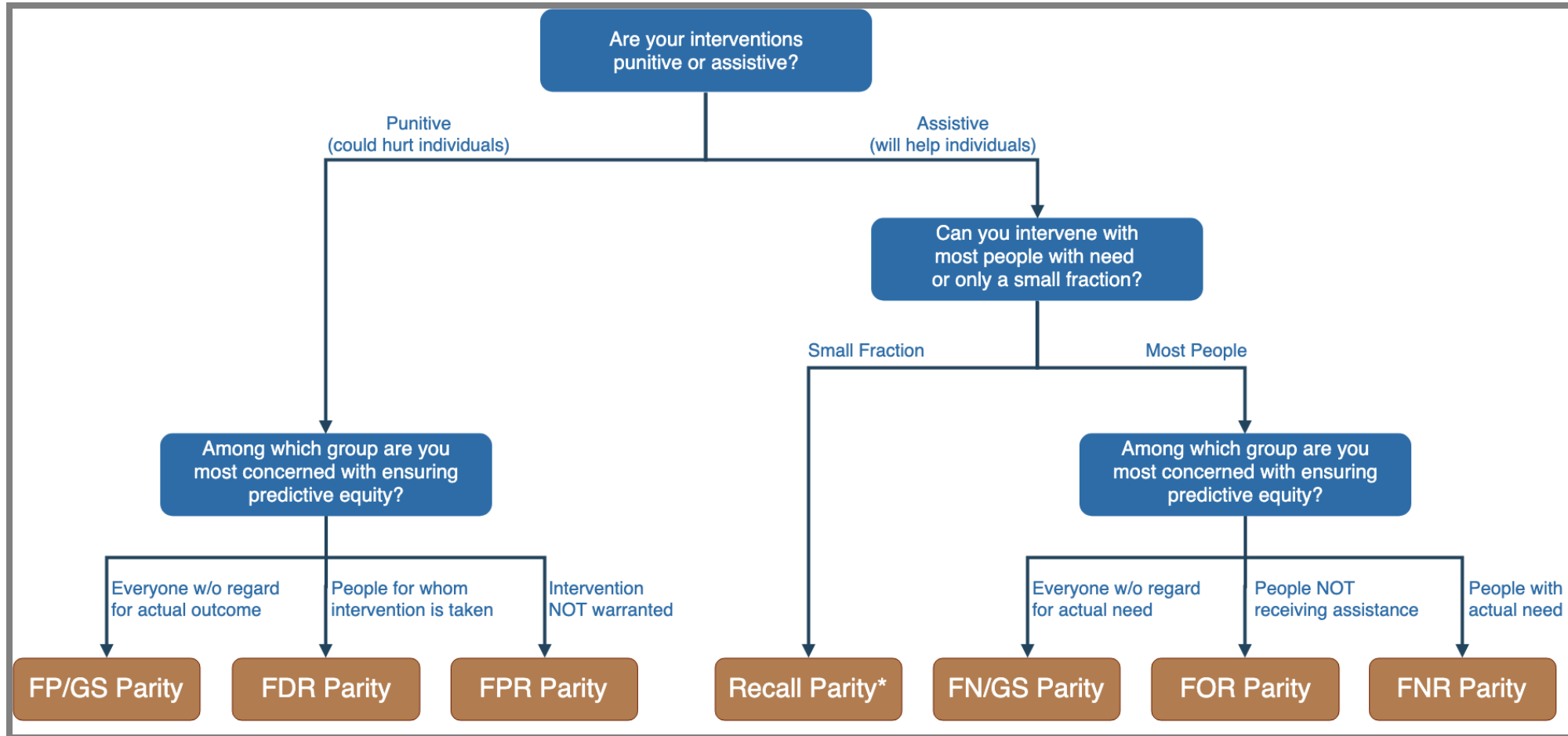
Ian Foster, Rayid Ghani, Ron S. Jarmin, Frauke Kreuter and Julia Lane. Big Data and Social Science: Data Science Methods and Tools for Research and Practice. Chapter 11, 2nd ed, 2020

21

Trade-offs in Fairness vs Accuracy

Legend:
- Acc=0.87; p%-rule=45%
- Acc=0.82; p%-rule=70%
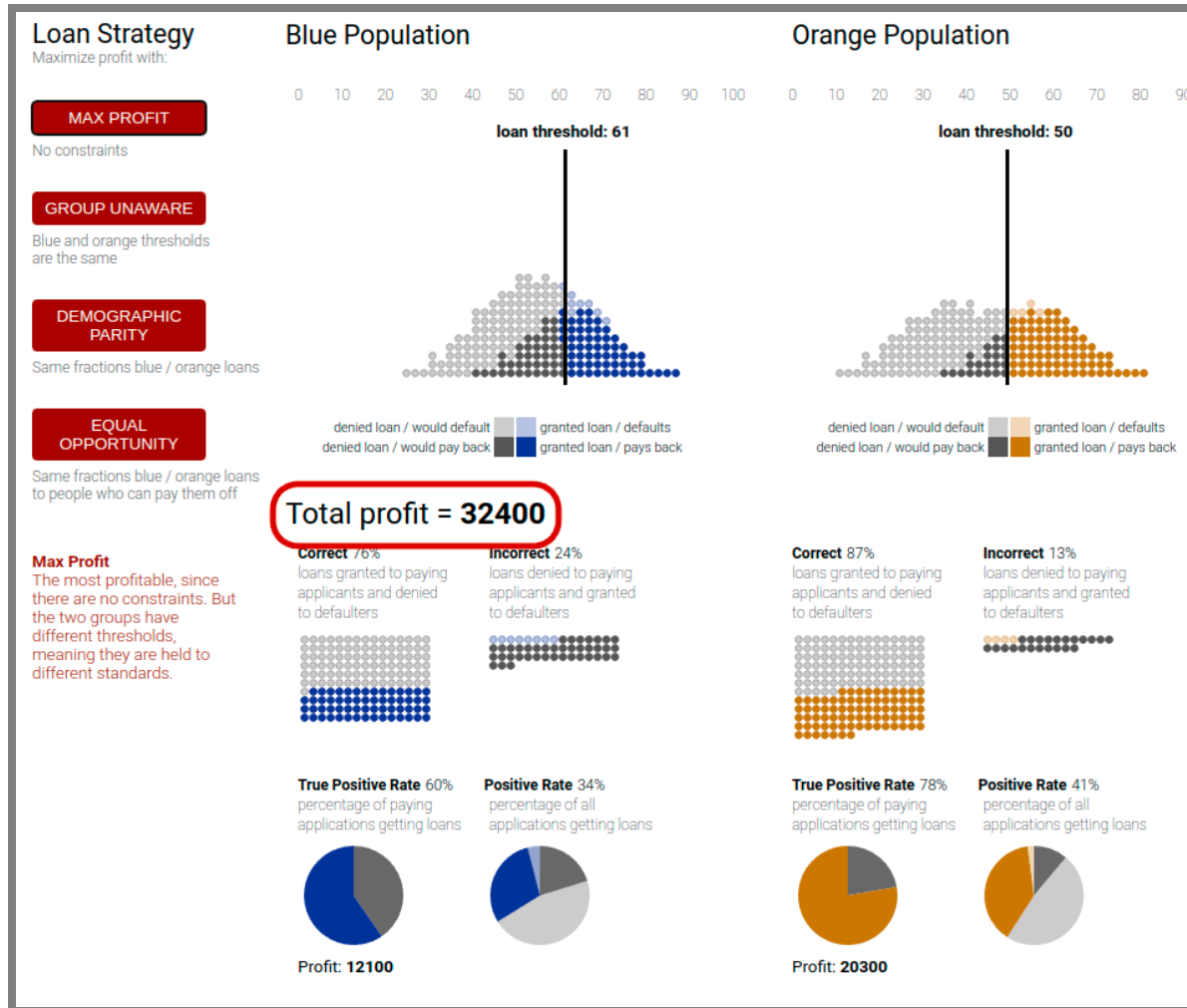- Acc=0.74; p%-rule=98%

Fairness imposes constraints, limits what models can be learned

But: Arguably, unfair predictions are not desirable!

Determine how much compromise in accuracy or fairness is acceptable to your stakeholders

Fairness, Accuracy, and Profits

Interactive visualization: https://research.google.com/bigpicture/attacking-discrimination-in-ml/

Fairness, Accuracy, and Profits

Fairness can conflict with accuracy goals

Fairness can conflict with organizational goals (profits, usability)

Fairer products may attract more customers

Unfair products may receive bad press, reputation damage

Improving fairness through better data can benefit everybody

Discussion: Fairness Goal for Mortgage Applications?

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Disparate impact considerations seem to prevail -- group fairness

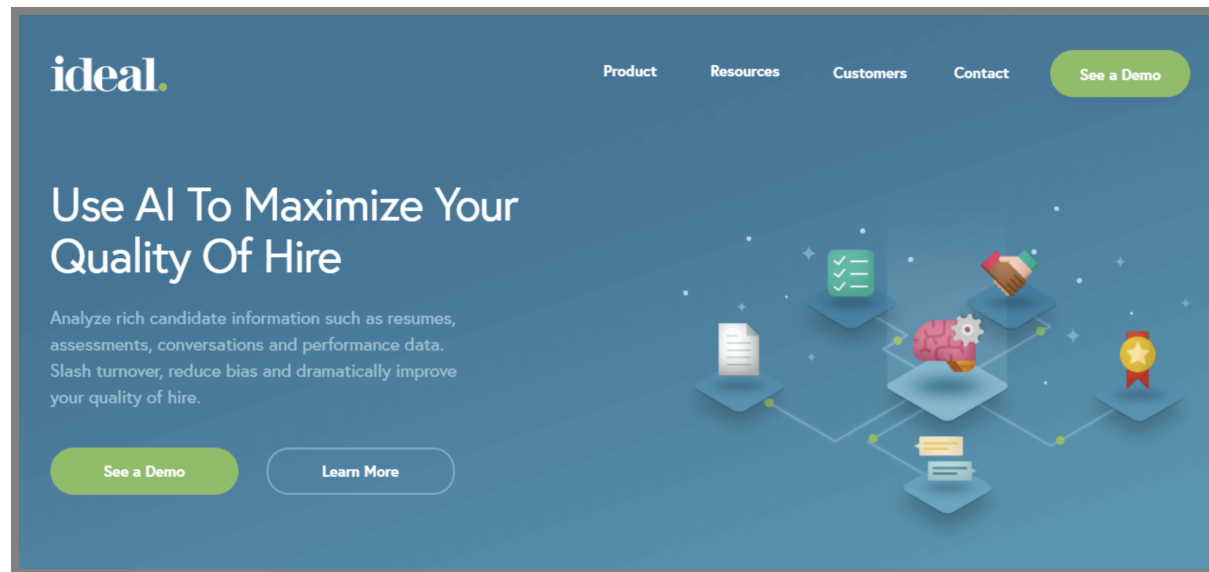Need to justify strong differences in outcomes

Can also sue over disparate treatment if bank indicates that protected attribute was reason for decision

Fairness Goal for College Admission?

In practice, legally, in the US, most forms of group fairness are likely illegal.

Breakout: Fairness Goal for Hiring Decisions?

Post as a group in #lecture:

Law: "Four-fifth rule" (or "80% rule")

- Group fairness with a threshold: $\frac{P[R=1|A=a]}{P[R=1|A=b]} \geq 0.8$
- Selection rate for a protected group (e.g., $A = a$) < 80% of highest rate => selection procedure considered as having "adverse impact"
- Guideline adopted by Federal agencies (Department of Justice, Equal Employment Opportunity Commission, etc.,) in 1978
- If violated, must justify business necessity (i.e., the selection procedure is essential to the safe & efficient operation)
- Example: Hiring 50% of male applicants vs 20% female applicants hired (0.2/0.5 = 0.4) -- Is there a business justification for hiring men at a higher rate?

skip me

Recidivism Revisited

Bernard Parker, left, was rated high risk; Dylan Fugett was rated low risk. (Josh Ritchie for ProPublica)

Machine Bias

There's software used across the country to predict future criminals. And it's biased against blacks.

- COMPAS system, developed by Northpointe: Used by judges in sentencing decisions across multiple states (incl. PA)

ProPublica article

Which fairness definition?

Table 11.1: COMPAS Fairness Metrics

Metric	Caucasian	African American
False Positive Rate (FPR)	23%	45%
False Negative Rate (FNR)	48%	28%
False Discovery Rate (FDR)	41%	37%

- ProPublica: COMPAS violates equalized odds w/ FPR & FNR
- Northpointe: COMPAS is fair because it has similar FDRs
    - FDR = FP / (FP + TP) = 1 - Precision; FPR = FP / (FP + TN)
- **Q. Which measure is appropriate in this context?**

Figure from Big Data and Social Science, Ch. 11 A. Chouldechova Fair prediction with disparate impact: A study of bias in recidivism prediction instruments

False discovery rate: rate of Type 1 errors (reject null hypothesis when it's true)

Positive predictive value is the probability that a patient with a positive (abnormal) test result actually has the disease. Negative predictive value is the probability that a person with a negative (normal) test result is truly free of disease.

when the positive predictive values are constrained to be equal but the prevalences differ across groups, the false positive and false negative rates cannot both be equal across those groups.

(i) Allow unequal false negative rates to retain equal PPV's and achieve equal false positive rates (ii) Allow unequal false positive rates to retain equal PPV's and achieve equal false negative rates (iii) Allow unequal PPV's to achieve equal false positive and false negative rates
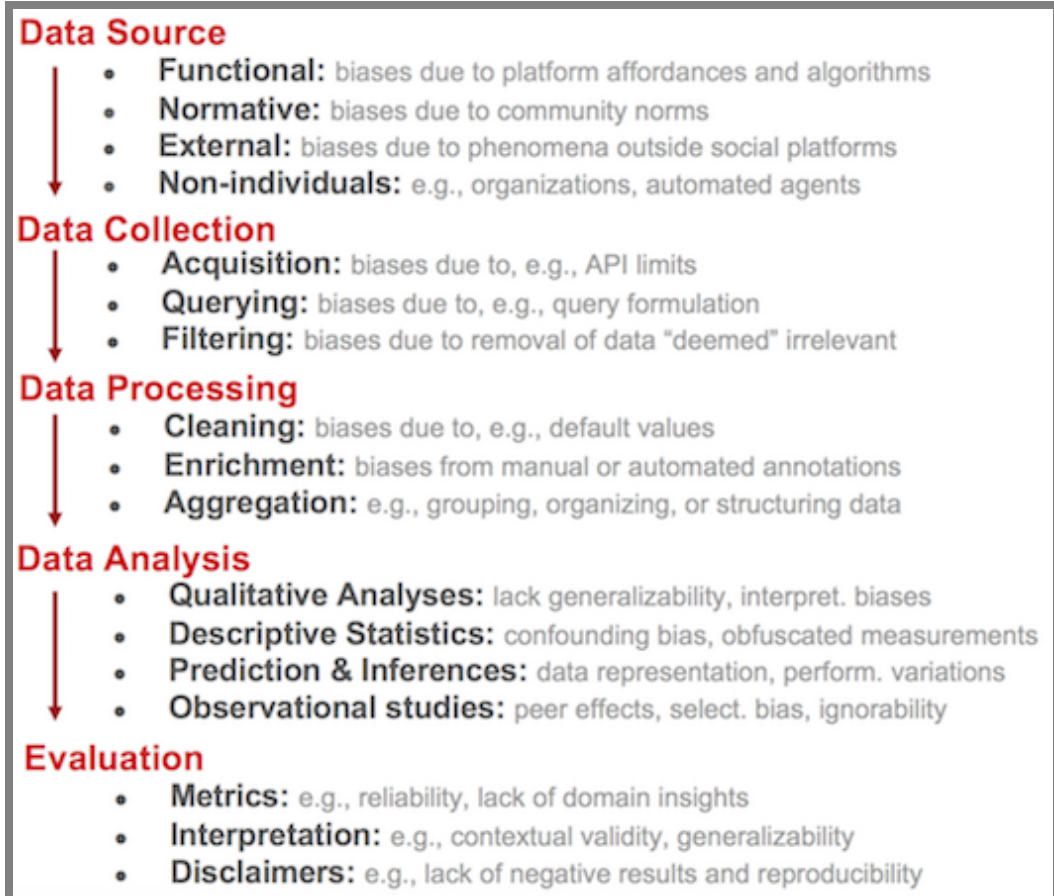
Dataset Construction for Fairness

FAIRNESS #1

~~FAIRNESS #2~~

SOME FAIRNESS DEFINITIONS
CAN BE MUTUALLY EXCLUSIVE.
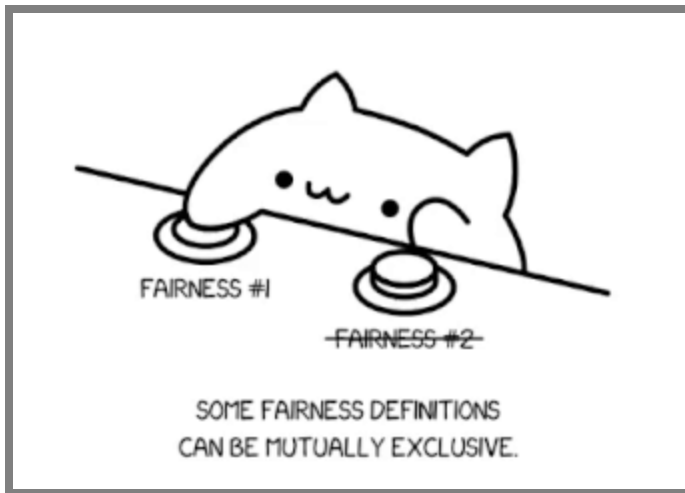
- Instead of just focusing on building a "fair"' model, can we understand & address the root causes of bias?

Flexibility in Data Collection

- Data science education often assumes data as given
- In industry, we often have control over data collection and curation (65%)
- Most address fairness issues by collecting more data (73%)
  - Carefully review data collection procedures, sampling bias, how trustworthy labels are
  - **Often high-leverage point to improve fairness!**

Data Bias

Data Source
- **Functional:** biases due to platform affordances and algorithms
- **Normative:** biases due to community norms
- **External:** biases due to phenomena outside social platforms
- **Non-individuals:** e.g., organizations, automated agents

Data Collection
- **Acquisition:** biases due to, e.g., API limits
- **Querying:** biases due to, e.g., query formulation
- **Filtering:** biases due to removal of data "deemed" irrelevant

Data Processing
- **Cleaning:** biases due to, e.g., default values
- **Enrichment:** biases from manual or automated annotations
- **Aggregation:** e.g., grouping, organizing, or structuring data

Data Analysis
- **Qualitative Analyses:** lack generalizability, interpret. biases
- **Descriptive Statistics:** confounding bias, obfuscated measurements
- **Prediction & Inferences:** data representation, perform. variations
- **Observational studies:** peer effects, select. bias, ignorability

Evaluation
- **Metrics:** e.g., reliability, lack of domain insights
- **Interpretation:** e.g., contextual validity, generalizability
- **Disclaimers:** e.g., lack of negative results and reproducibility

- Bias can be introduced at any stage of the data pipeline!

Bennett et al., Fairness-aware Machine Learning, WSDM Tutorial (2019).

34

Types of Data Bias

- **Population bias**
- Historical bias
- **Behavioral bias**
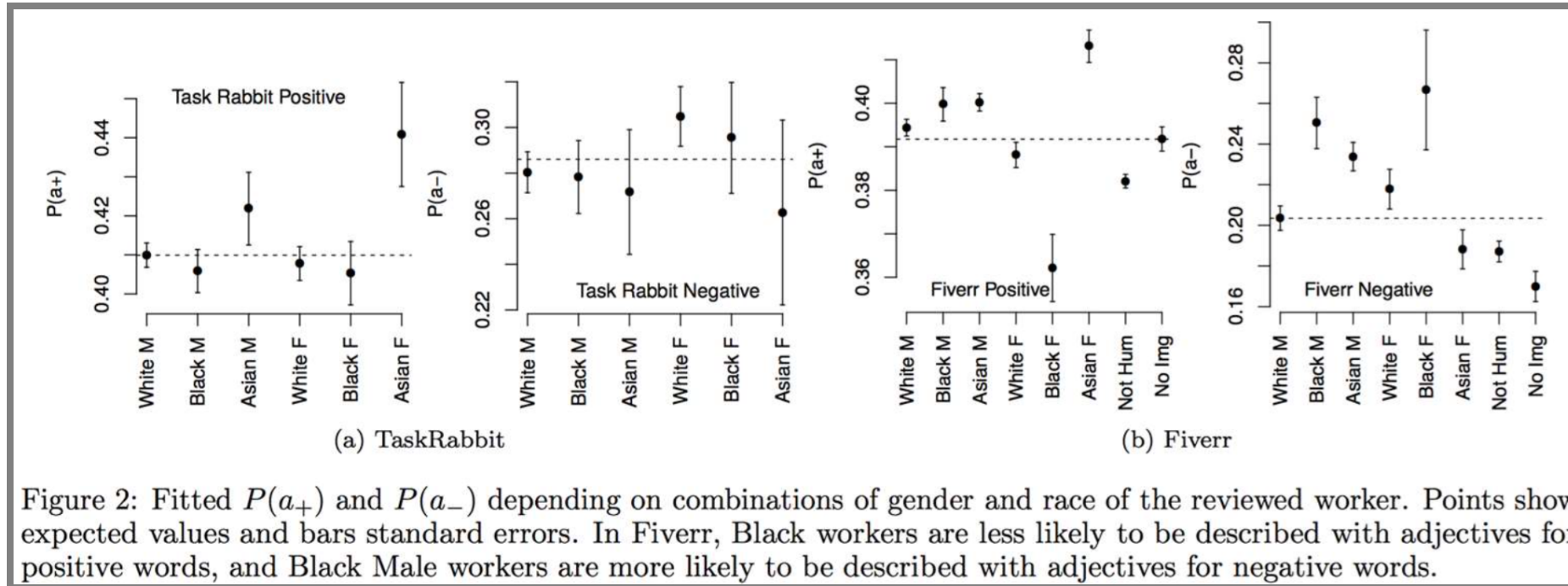- Content production bias
- Linking bias
- Temporal bias

Social Data: Biases, Methodological Pitfalls, and Ethical Boundaries, Olteanu et al., Frontiers in Big Data (2016).

Population Bias

	Gender		Skin Color/Type	
Data set	**Female**	**Male**	**Darker**	**Lighter**
LFW [15]	22.5%	77.4%	18.8%	81.2%
IJB-C [28]	37.4%	62.7%	18.0%	82.0%
Pubfig [35]	50.8%	49.2%	18.0%	82.0%
CelebA [9]	58.1%	42.0%	14.2%	85.8%
UTKface [32]	47.8%	52.2%	35.6%	64.4%
AgeDB [33]	40.6%	59.5%	5.4%	94.6%
PPB [36]	44.6%	55.4%	46.4%	53.6%
IMDB-Face [24]	45.0%	55.0%	12.0%	88.0%

Table 3: Distribution of gender and skin color/type for seven prominent face image data sets.

- Differences in demographics between dataset vs target population
- May result in degraded services for certain groups

Merler, Ratha, Feris, and Smith. Diversity in Faces

36

Behavioral Bias

Figure 2: Fitted $P(a_+)$ and $P(a_-)$ depending on combinations of gender and race of the reviewed worker. Points show expected values and bars standard errors. In Fiverr, Black workers are less likely to be described with adjectives for positive words, and Black Male workers are more likely to be described with adjectives for negative words.

- Differences in user behavior across platforms or social contexts
- Example: Freelancing platforms (Fiverr vs TaskRabbit)
  - Bias against certain minority groups on different platforms

Bias in Online Freelance Marketplaces, Hannak et al., CSCW (2017).

Fairness-Aware Data Collection

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Fairness-Aware Data Collection

- Address population bias
  - Does the dataset reflect the demographics in the target population?
  - If not, collect more data to achieve this

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Fairness-Aware Data Collection

- Address population bias
  - Does the dataset reflect the demographics in the target population?
  - If not, collect more data to achieve this
- Address under- & over-representation issues
  - Ensure sufficient amount of data for all groups to avoid being treated as "outliers" by ML
  - Also avoid over-representation of certain groups (e.g., remove historical data)

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Fairness-Aware Data Collection

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Fairness-Aware Data Collection

- Data augmentation: Synthesize data for minority groups to reduce under-representation
  - Observed: "He is a doctor" -> synthesize "She is a doctor"

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Fairness-Aware Data Collection

- Data augmentation: Synthesize data for minority groups to reduce under-representation
  - Observed: "He is a doctor" -> synthesize "She is a doctor"
- Model auditing for better data collection
  - Evaluate accuracy across different groups
  - Collect more data for groups with highest error rates

Fairness-aware Machine Learning, Bennett et al., WSDM Tutorial (2019).

Example Audit Tool: Aequitas
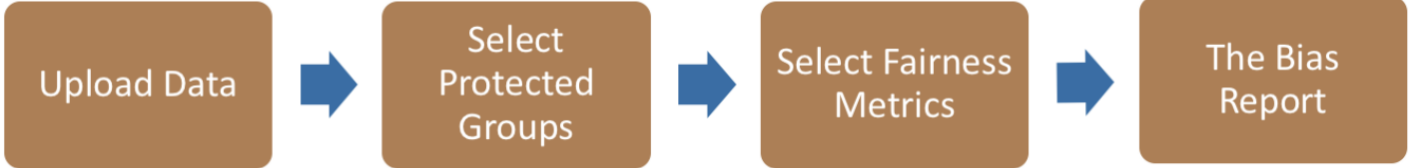
Example Audit Tool: Aequitas

Audit Results: Bias Metrics Values

race

Attribute Value	False Discovery Rate Disparity	False Positive Rate Disparity
African-American	0.91	1.91
Asian	0.61	0.37
Caucasian	1.0	1.0
Hispanic	1.12	0.92
Native American	0.61	1.6
Other	1.12	0.63

Documentation for Fairness: Data Sheets

Demographic Characteristic	Value
Percentage of female subjects	22.5%
Percentage of male subjects	77.5%
Percentage of White subjects	83.5%
Percentage of Black subjects	8.47%
Percentage of Asian subjects	8.03%
Percentage of people between 0-20 years old	1.57%
Percentage of people between 21-40 years old	31.63%
Percentage of people between 41-60 years old	45.58%
Percentage of people over 61 years old	21.2%

- Common practice in the electronics industry, medicine
- Purpose, provenance, creation, **composition**, distribution
    - "Does the dataset relate to people?"
    - "Does the dataset identify any subpopulations (e.g., by age)?"

Datasheets for Dataset, Gebru et al., (2019). https://arxiv.org/abs/1803.09010

Speaker notes

In the electronics industry, every component, no matter how simple or complex, is accompanied with a datasheet that describes its operating characteristics, test results, recommended uses, and other information. By analogy, we propose that every dataset be accompanied with a datasheet that documents its motivation, composition, collection process, recommended uses, and so on. Datasheets for datasets will facilitate better communication between dataset creators and dataset consumers, and encourage the machine learning community to prioritize transparency and accountability.

☰

Model Cards

See also: https://modelcards.withgoogle.com/about

Model Card - Toxicity in Text

Model Details
- The TOXICITY classifier provided by Perspective API [32], trained to predict the likelihood that a comment will be perceived as toxic.
- Convolutional Neural Network.
- Developed by Jigsaw in 2017.

Intended Use
- Intended to be used for a wide range of use cases such as supporting human moderation and providing feedback to comment authors.
- Not intended for fully automated moderation.
- Not intended to make judgments about specific individuals.

Factors
- Identity terms referencing frequently attacked groups, focusing on sexual orientation, gender identity, and race.

Metrics
- Pinned AUC, as presented in [11], which measures threshold-agnostic separability of toxic and non-toxic comments for each group, within the context of a background distribution of other groups.

Ethical Considerations
- Following [31], the Perspective API uses a set of values to guide their work. These values are Community, Transparency, Inclusivity, Privacy, and Topic-neutrality. Because

Training Data
- Proprietary from Perspective API. Following details in [11] and [32], this includes comments from a online forums such as Wikipedia and New York Times, with crowdsourced labels of whether the comment is "toxic".
- "Toxic" is defined as "a rude, disrespectful, or unreasonable comment that is likely to make you leave a discussion."

Evaluation Data
- A synthetic test set generated using a template-based approach, as suggested in [11], where identity terms are swapped into a variety of template sentences.
- Synthetic data is valuable here because [11] shows that real data often has disproportionate amounts of toxicity directed at specific groups. Synthetic data ensures that we evaluate on data that represents both toxic and non-toxic statements referencing a variety of groups.

Caveats and Recommendations
- Synthetic test data covers only a small set of very specific comments. While these are designed to be representative of common use cases and concerns, it is not comprehensive.

Mitchell, Margaret, et al. "Model cards for model reporting." In Proceedings of the Conference on fairness, accountability, and transparency, pp. 220-229. 2019.

43

Model cards are short documents accompanying trained machine learning models that provide benchmarked evaluation in a variety of conditions, such as across different cultural, demographic, or phenotypic groups (e.g., race, geographic location, sex, Fitzpatrick skin type) and intersectional groups (e.g., age and race, or sex and Fitzpatrick skin type) that are relevant to the intended application domains. Model cards also disclose the context in which models are intended to be used, details of the performance evaluation procedures, and other relevant information. While we focus primarily on human-centered machine learning models in the application fields of computer vision and natural language processing, this framework can be used to document any trained machine learning model.

Dataset Exploration

Google What-If Tool

Fairness beyond the Model

Bias Mitigation through System Design

Examples of mitigations around the model?

1. Avoid Unnecessary Distinctions

Image captioning gender biased?

1. Avoid Unnecessary Distinctions

"Doctor/nurse applying blood pressure monitor" -> "Healthcare worker applying blood pressure monitor"

1. Avoid Unnecessary Distinctions

Is the distinction actually necessary? Is there a more general class to unify them?

Aligns with notion of *justice* to remove the problem from the system

2. Suppress Potentially Problem Outputs

How to fix?

2. Suppress Potentially Problem Outputs

Anticipate problems or react to reports

Postprocessing, filtering, safeguards
- Suppress entire output classes
- Hardcoded rules or other models (e.g., toxicity detection)

May degrade system quality for some use cases

See mitigating mistakes generally

3. Design Fail-Soft Strategy

Example: Plagiarism detector

A: Cheating detected! This incident has been reported.

B: This answer seems to perfect. Would you like another exercise?

HCI principle: Fail-soft interfaces avoid calling out directly; communicate friendly and constructively to allow saving face

Especially relevant if system unreliable or biased

4. Keep Humans in the Loop

TV subtitles: Humans check transcripts, especially with heavy dialects

4. Keep Humans in the Loop

Recall: Automate vs prompt vs augment

Involve humans to correct for mistakes and bias

But, model often introduced to avoid bias in human decision

But, challenging human-interaction design to keep humans engaged and alert; human monitors possibly biased too, making it worse

Does a human have a fair chance to detect and correct bias? Enough information? Enough context? Enough time? Unbiased human decision?

Predictive Policing Example

"officers expressed skepticism about the software and during ride alongs showed no intention of using it"

"the officer discounted the software since it showed what he already knew, while he ignored those predictions that he did not understand"

Does the system just lend credibility to a biased human process?

Lally, Nick. ""It makes almost no difference which algorithm you use": on the modularity of predictive policing." Urban Geography (2021): 1-19.

Monitoring

Monitoring & Auditing

- Operationalize fairness measure in production with telemetry

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- Operationalize fairness measure in production with telemetry
- Continuously monitor for:
  - Mismatch between training data, test data, and instances encountered in deployment
  - Data shifts: May suggest needs to adjust fairness metric/thresholds
  - User reports & complaints: Log and audit system decisions perceived to be unfair by users

Monitoring & Auditing

- Operationalize fairness measure in production with telemetry
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  - Mismatch between training data, test data, and instances encountered in deployment
  - Data shifts: May suggest needs to adjust fairness metric/thresholds
  - User reports & complaints: Log and audit system decisions perceived to be unfair by users
- Invite diverse stakeholders to audit system for biases

Monitoring & Auditing
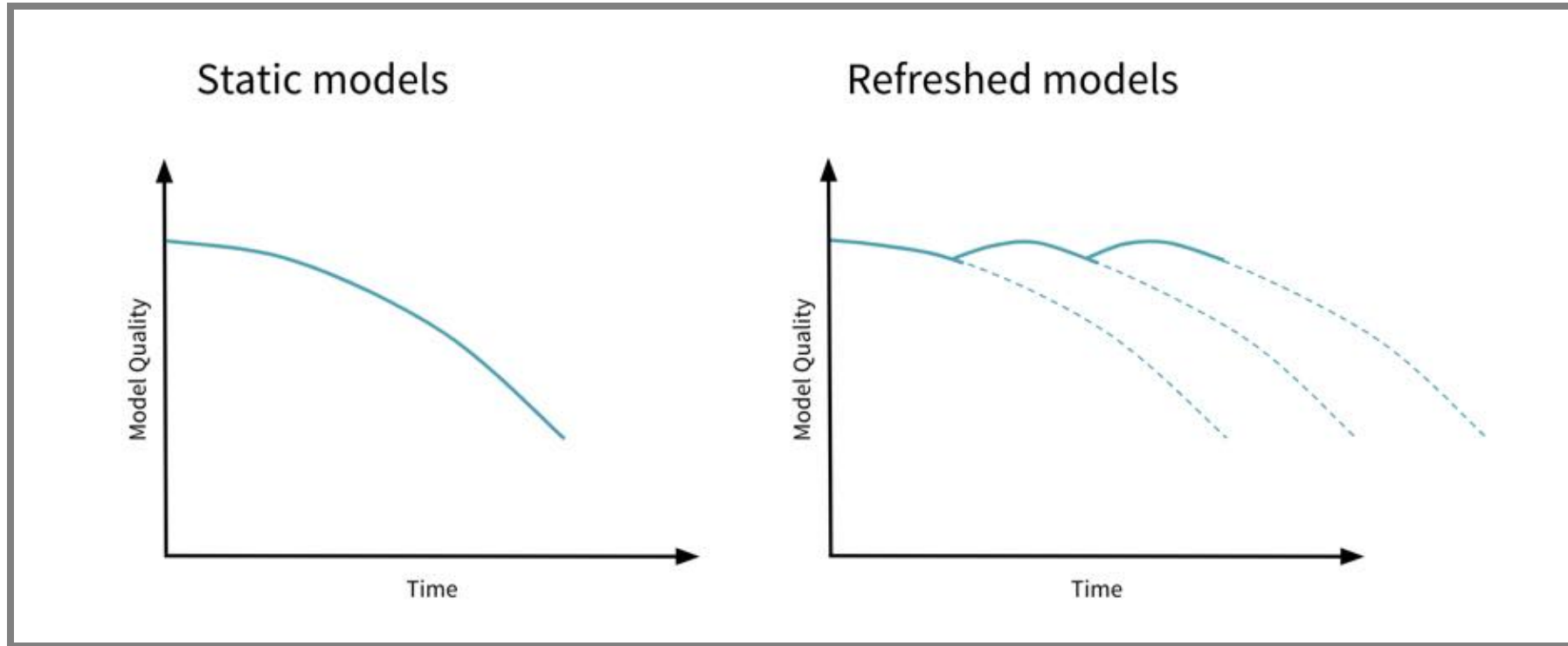
- Continuosly monitor the fairness metric (e.g., error rates for different sub-populations)
- Re-train model with recent data or adjust classification thresholds if needed

58

Preparing for Problems

Prepare an *incidence response plan* for fairness issues

- What can be shut down/reverted on short notice?
- Who does what?
- Who talks to the press? To affected parties? What do they need to know?

Provide users with a path to *appeal decisions*

- Provide feedback mechanism to complain about unfairness
- Human review? Human override?

Process Integration

Fairness in Practice today

Lots of attention in academia and media

Lofty statements by big companies, mostly aspirational

Strong push by few invested engineers (internal activists)

Some dedicated teams, mostly in Big Tech, mostly research focused

Little institutional support, no broad practices

Barriers to Fairness Work

Barriers to Fairness Work

1. Rarely an organizational priority, mostly reactive (media pressure, regulators)
2. Fairness work seen as ambiguous and too complicated for available resources (esp. outside Big Tech)
3. Most fairness work done by volunteers outside official job functions
4. Impact of fairness work difficult to quantify, making it hard to justify resource investment
5. Technical challenges
6. Fairness concerns are project specific, hard to transfer actionable insights and tools across teams

Improving Process Integration -- Aspirations

Integrate proactive practices in development processes -- both model and system level!

Move from individuals to institutional processes distributing the work

Hold the entire organization accountable for taking fairness seriously

How?

Improving Process Integration -- Examples

1. Mandatory discussion of discrimination risks, protected attributes, and fairness goals in *requirements documents*
2. Required fairness reporting in addition to accuracy in automated *model evaluation*
3. Required internal/external fairness audit before *release*
4. Required fairness monitoring, oversight infrastructure in *operation*

Improving Process Integration -- Examples

5. Instituting fairness measures as *key performance indicators* of products

6. Assign clear responsibilities of who does what

7. Identify measurable fairness improvements, recognize in performance evaluations

How to avoid pushback against bureaucracy?

Affect Culture Change

Buy-in from management is crucial

Show that fairness work is taken seriously through action (funding, hiring, audits, policies), not just lofty mission statements

Reported success strategies:

1. Frame fairness work as financial profitable, avoiding rework and reputation cost
2. Demonstrate concrete, quantified evidence of benefits of fairness work
3. Continuous internal activism and education initiatives
4. External pressure from customers and regulators

Assigning Responsibilities

Hire/educate T-shaped professionals

Have dedicated fairness expert(s) consulting with teams, performing/guiding audits, etc

Not everybody will be a fairness expert, but ensure base-level awareness on when to seek help

Aspirations

> *"They imagined that organizational leadership would understand, support, and engage deeply with responsible AI concerns, which would be contextualized within their organizational context. Responsible AI would be prioritized as part of the high-level organizational mission and then translated into actionable goals down at the individual levels through established processes. Respondents wanted the spread of information to go through well-established channels so that people know where to look and how to share information."*

From Rakova, Bogdana, Jingying Yang, Henriette Cramer, and Rumman Chowdhury. "Where responsible AI meets reality: Practitioner perspectives on enablers for shifting organizational

Burnout is a Real Danger

Unsupported fairness work is frustrating and often ineffective

"However famous the company is, it's not worth being in a work situation where you don't feel like your entire company, or at least a significant part of your company, is trying to do this with you. Your job is not to be paid lots of money to point out problems. Your job is to help them make their product better. And if you don't believe in the product, then don't work there." -- Rumman Chowdhury via *Melissa Heikkilä*

Best Practices

Best Practices

Best practices are emerging and evolving

Start early, be proactive

Scrutinize data collection and labeling

Invest in requirements engineering and design

Invest in education

Assign clear responsibilities, demonstrate leadership buy-in

Many Tutorials, Checklists, Recommendations

Tutorials (fairness notions, sources of bias, process recom.):

- Fairness in Machine Learning, Fairness-Aware Machine Learning in Practice
- Challenges of Incorporating Algorithmic Fairness into Industry Practice

Checklist:

- Microsoft's AI Fairness Checklist: concrete questions, concrete steps throughout all stages, including deployment and monitoring
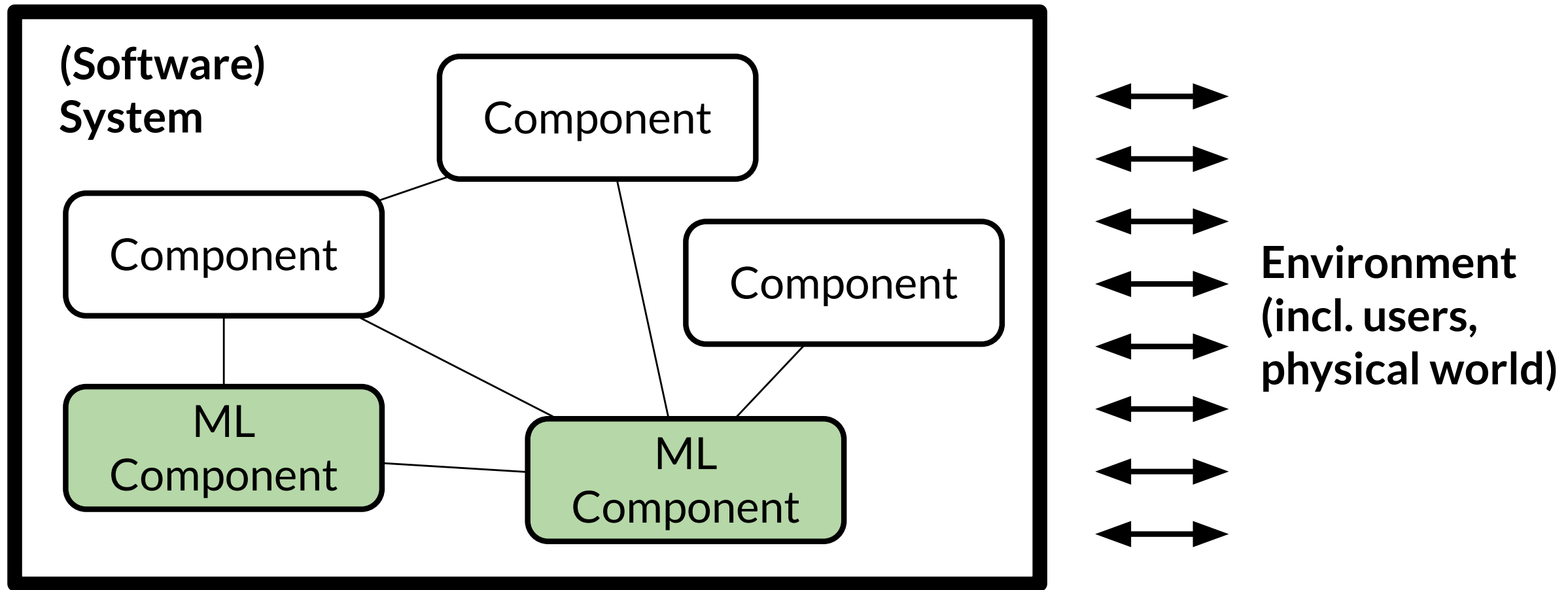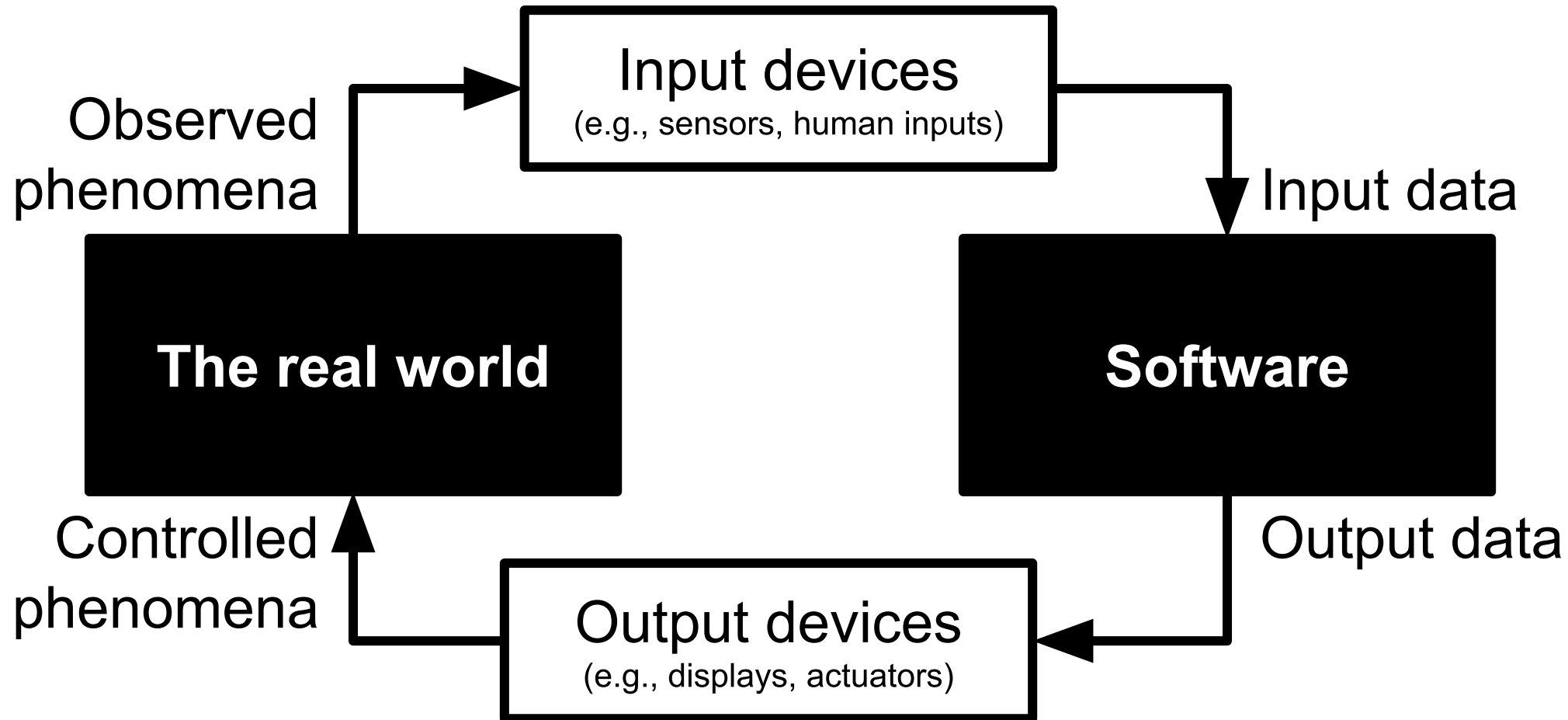
Anticipate Feedback Loops

Feedback Loops
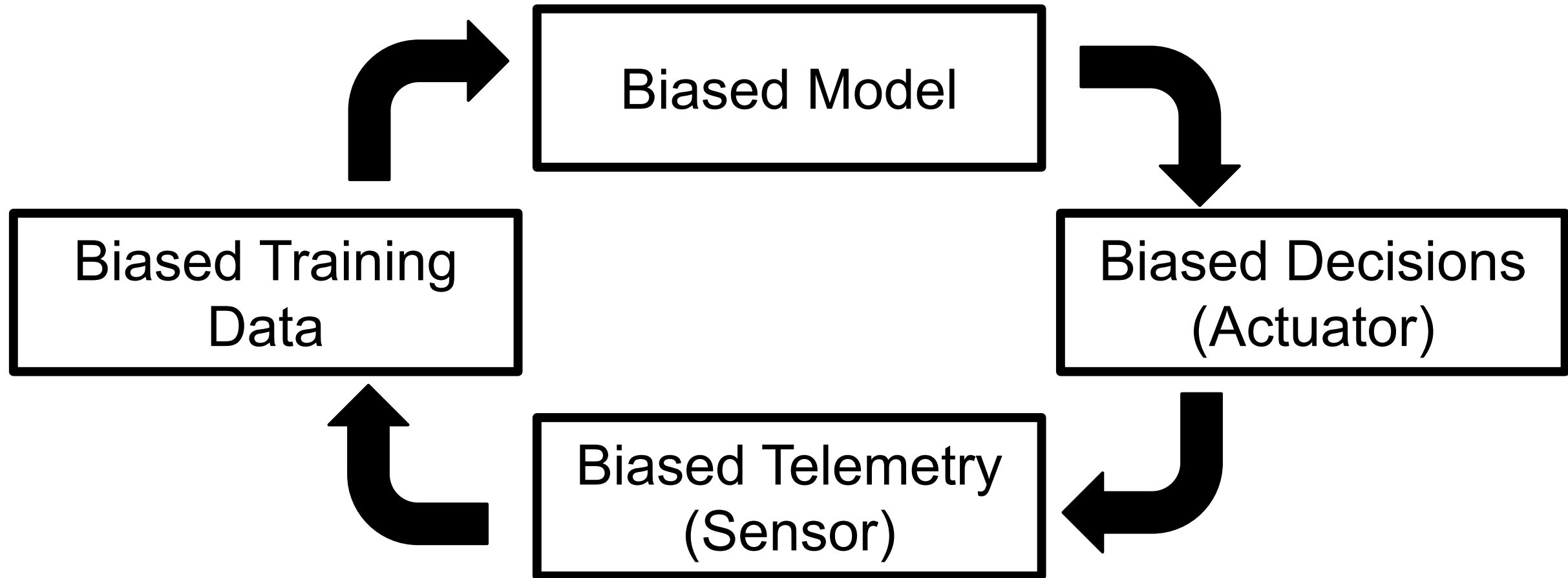
Feedback Loops in Mortgage Applications?

Feedback Loops go through the Environment

Analyze the World vs the Machine

State and check assumptions!

Analyze the World vs the Machine

How do outputs affect change in the real world, how does this (indirectly) influence inputs?

Can we decouple inputs from outputs? Can telemetry be trusted?

Interventions through system (re)design:

- Focus data collection on less influenced inputs
- Compensate for bias from feedback loops in ML pipeline
- Do not build the system in the first place

Long-term Impact of ML

- ML systems make multiple decisions over time, influence the behaviors of populations in the real world
- *But* most models are built & optimized assuming that the world is static
- Difficult to estimate the impact of ML over time
  - Need to reason about the system dynamics (world vs machine)
  - e.g., what's the effect of a mortgage lending policy on a population?

Long-term Impact & Fairness

Deploying an ML model with a fairness criterion does NOT guarantee improvement in equality/equity over time

Even if a model appears to promote fairness in short term, it may result harm over long term
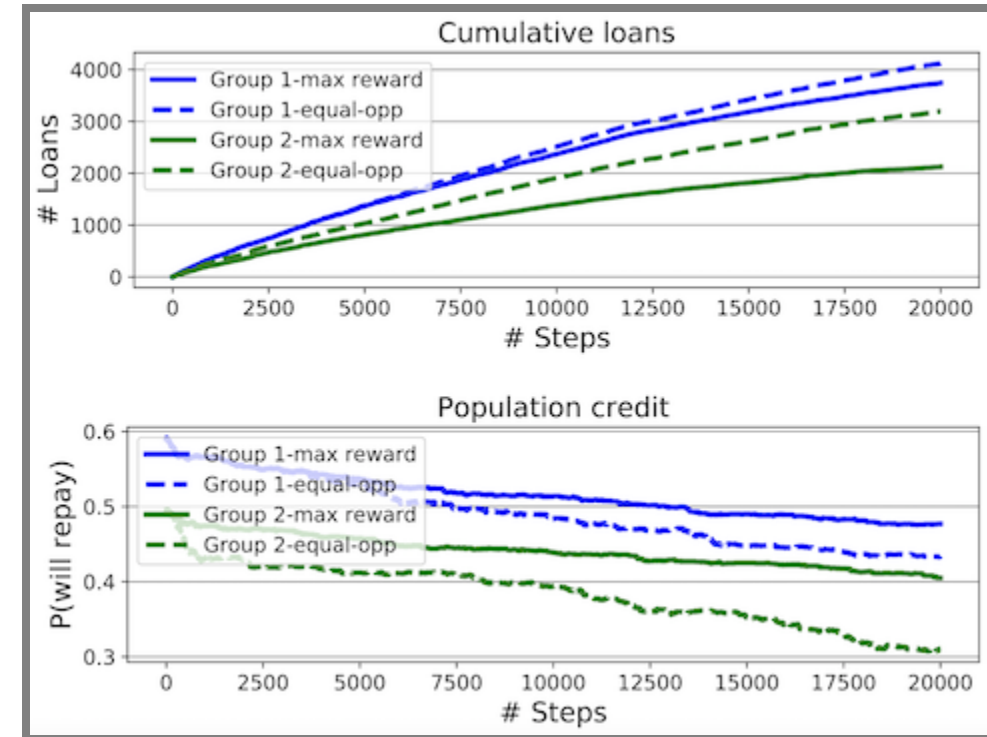
Fairness is not static: deeper understanding of long term fairness via simulation studies, in FAT* 2020.

Prepare for Feedback Loops

We will likely not anticipate all feedback loops...

... but we can anticipate that unknown feedback loops exist

-> Monitoring!

Summary

- Requirements engineering for fair ML systems
  - Identify potential harms, protected attributes
  - Negotiate conflicting fairness goals, tradeoffs
  - Consider societal implications
- Apply fair data collection practices
- Anticipate feedback loops
- Operationalize & monitor for fairness metrics
- Design fair systems beyond the model, mitigate bias outside the model
- Integrate fairness work in process and culture

Further Readings

- Rakova, Bogdana, Jingying Yang, Henriette Cramer, and Rumman Chowdhury. "Where responsible AI meets reality: Practitioner perspectives on enablers for shifting organizational practices." *Proceedings of the ACM on Human-Computer Interaction* 5, no. CSCW1 (2021): 1-23.
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